

ILSI
MONOGRAPHS

Sponsored by
the International
Life Sciences Institute

U. Mohr
Editor-in-Chief

Toxic and Carcinogenic Effects of Solid Particles in the Respiratory Tract

D.L. Dungworth
Editors

J.L. Mauderly

G. Oberdörster



ILSI Press
Washington, D.C.

PM3006448164

International Life Sciences Institute/ILSI Press
1126 Sixteenth Street, N.W., Washington, D.C. 20036

The use of trade names and commercial sources in this document is for purposes of identification only, and does not imply endorsement by the International Life Sciences Institute. In addition, the views expressed herein are those of the individual authors and/or their organizations, and do not necessarily reflect those of ILSI.

© 1994 International Life Sciences Institute.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of the copyright holder.

Printed in the United States of America

Library of Congress Catalog Card Number 94-75676
ISBN 0-944398-14-6

Foreword

The series of International Inhalation Symposia, started in 1987, is held biennially in Hannover. Sponsored by the International Life Sciences Institute (ILSI), Hannover Medical School, and the Fraunhofer Institute of Toxicology and Aerosol Research, the symposia present and discuss subjects of current importance in the field of inhalation toxicology. They bring together scientists from government, academia, and industry, offering an opportunity for opinions to be heard, questions raised, and solutions sought.

THE EDITORS

U. Mohr
D.L. Dungworth
J.L. Mauderly
G. Oberdörster

Series Foreword

The International Life Sciences Institute is a nonprofit, worldwide foundation established in 1978 to advance the understanding of scientific issues relating to toxicology, nutrition, food safety, and the environment and to promote agreement and regulatory harmonization in these areas. By bringing together scientists from academia, government, and industry, ILSI seeks a balanced approach to solving problems with broad implications for the well-being of the general public.

ILSI is affiliated with the World Health Organization as a nongovernmental organization and has specialized consultative status with the Food and Agriculture Organization of the United Nations. Headquartered in Washington, D.C., ILSI has branches in Argentina, Australasia, Brazil, Europe, Japan, Mexico, North America, and Southeast Asia, with branches under consideration elsewhere. In addition, ILSI recently established a focal point in Beijing, China.

Alex Malaspina
President
International Life Sciences Institute

Contents

1. Introductory Reviews	1
Particle- and Fiber-induced Lesions: An Overview	
A.B. KANE	3
Mechanisms and Significance of "Particle Overload"	
P.E. MORROW	17
2. Overview of Chronic Animal Studies	27
Toxicokinetics of Solid Particles in Chronic Rat Studies Using Diesel Soot, Carbon Black, Toner, Titanium Dioxide, and Quartz	
H. MÜHLE, B. BELLMANN, AND O. CREUTZENBERG	29
Noncancer Pulmonary Effects of Chronic Inhalation Exposure of Animals to Solid Particles	
J.L. MAUDERLY	43
Carcinogenic Effects of Solid Particles	
U. HEINRICH	57
Pathologic Effects of Inhaled Particles in Rat Lungs: Associations Between Inflammatory and Neoplastic Processes	
D.L. DUNGWORTH, U. MOHR, U. HEINRICH, H. ERNST, AND B. KITTEL	75
Animal Inhalation Studies with Fibers	
J.M.G. DAVIS	99
Relevance of Nonphysiologic Exposure Routes for Carcinogenicity Studies of Solid Particles	
F. POTT AND M. ROLLER	109
Overview of Chronic Animal Studies: Synthesis	
B.M. WAGNER	127

3. Particle-Cell Interaction	131
Fate and Translocation of Fibers K.E. PINKERTON AND J.D. CRAPO	133
Factors Controlling the Biological Potential of Inorganic Dusts: Surface Chemistry and Character A.M. LANGER AND R.P. NOLAN	147
Pulmonary Macrophages: Phenomena Associated with the "Particle Overload" Condition B.E. LEHNERT, R.J. SEBRING, AND G. OBERDÖRSTER	159
Contribution of Macrophage-derived Cytokines and Cytokine Networks to Mineral Dust-induced Lung Inflammation K.E. DRISCOLL, J.K. MAURER, D. HASSENBEIN, J. CARTER, Y.M.W. JANSSEN, B.T. MOSSMAN, M. OSIER, AND G. OBERDÖRSTER	177
Mechanisms of Asbestos-mediated DNA Replication and Cell Proliferation B.T. MOSSMAN, J.P. MARSH, Y.M.W. JANSSEN, AND N. HEINTZ	191
Cell-Cell Interactions and the Role of Epithelial Cells in the Pulmonary Response to Particulate Injury J.N. FINKELSTEIN	199
In Vitro Approach to Screening Particles for Toxicity M. EMURA	207
4. Mechanisms of Pulmonary Carcinogenesis and Fibrosis	221
Pulmonary Fibrosis: Mechanisms of Induction D.H. BOWDEN AND I.Y.R. ADAMSON	223
Sequence of Events in Lung Carcinogenesis: Initiation and Promotion, Protooncogenes and Tumor Suppressor Genes, and Particulates J.F. LECHNER AND J.L. MAUDERLY	235
Influences of Gender, Species, and Strain Differences in Pulmonary Toxicological Assessments of Inhaled Particles and/or Fibers D.B. WARHEIT AND M.A. HARTSKY	253
Autocrine Growth Regulators in Normal and Transformed Airway Epithelial Cells: Possible Paracrine Effects P. NETTESHEIM	267

5. Human Exposure and Effects	275
Human Exposure to Insoluble Isometric Particles R. MERMELSTEIN AND A. SIMONSON	277
Particle Deposition and Accumulation in Human Lungs M. LIPPMANN	291
Analysis of Mineral Particles in Human Autopsy Lungs: Comparisons with Predictive Models A. CHURG	307
Epidemiology on Chronic Dust Exposure K. ULM	321
Human Exposure and Effects: Synthesis D.H. BOWDEN	329
6. Risk Assessment and Conclusions	333
Extrapolation of Results from Animal Inhalation Studies with Particles to Humans? G. OBERDÖRSTER	335
Contribution of Inhalation Bioassays to the Assessment of Human Health Risks from Solid Airborne Particles J.L. MAUDERLY	355
Assessment of the Potential Health Effects of Natural and Man-made Fibers and Their Testing Needs: Perspectives of the U.S. Environmental Protection Agency V.T. Vu	367
Current Approaches in the European Economic Community and in Germany to Regulate Hazardous Substances Including Dusts at Work P. WARDENBACH	385
Health Risks of Exposure to Fibers and Particles: Synthesis and Research Needs R.O. McCLELLAN	389

7. Special Topics	403
<i>In Vitro Effects of Solid Particles</i>	405
Effects of Fullerenes on Alveolar Macrophages in Vitro P. ADELMANN, T. BAIERL, E. DROSSELMAYER, C. POLITIS, G. POLZER, A. SEIDEL, AND C. STEINLEITNER	405
In Vitro Effects of High-T _c Superconducting Materials and Their Components on Some Immunofunctions of Macrophages G. POLZER, T. BAIERL, E. DROSSELMAYER, AND A. SEIDEL	409
Use of the Detection of Anaphase/Telophase Abnormalities to Study the Genotoxicity of Solid Particles M. YEGLES, A. RENTER, J. BIGNON, AND M.-C. JAURAND	415
Cytotoxic and Genotoxic Effects of Vitreous Microbeads with Different Iron Content on Rat Pleural Mesothelial Cells in Culture H.Y. DONG, M. YEGLES, A. RENTER, J. BIGNON, AND M.-C. JAURAND	419
<i>In Vivo Effects of Solid Particles</i>	423
Tumor Necrosis Factor (TNF) and Progression of Coal Workers' Pneumoconiosis in Retired Coal Miners R.P.F. SCHINS, P.J.A. BORM, AND L. LENAERTS	423
Early One-Year Exposure to Diesel Exhaust Causes Lung Cancer in Rats Y. KAWABATA, T. UDAGAWA, K. HIGUCHI, H. YAMADA, AND K. IWAI	429
Inhalation Exposure of Rats to Tar/Pitch Condensation Aerosol or Carbon Black Alone or in Combination with Irritant Gases U. HEINRICH, L. PETERS, O. CREUTZENBERG, C. DASENBROCK, AND H.-G. HOYMAN	433
Toxicity of Coal Fly Ash and Lytag Dust upon Intratracheal Instillation J.H.E. ARTS, A.H. PENNINKS, AND H.W. HOEKSEMA	443
<i>Fiber Effects</i>	447
Long-Term Clearance of Ceramic Fibers from Guinea Pig Lungs Y.Y. HAMMAD AND B. ATIEH	447
Biopersistence of Crocidolite Versus Man-made Vitreous Fibers in Rat Lungs After Brief Exposures R. MUSSELMAN, W. MILLER, W. EASTES, J. HADLEY, O. KAMSTRUP, P. THEVENAZ, AND T. HESTERBERG	451

Chronic Inhalation Toxicity of Man-made Vitreous Fibers: Relationship to Lung Burden and Lung Retention T.W. HESTERBERG, W.C. MILLER, E.E. McCONNELL, D.M. BERNSTEIN, P. THEVENAZ, AND R. ANDERSON	455
Comparison of the Effects of Chrysotile and Crocidolite Asbestos in Rats After Inhalation for 24 Months E.E. McCONNELL, H.J. CHEVALIER, T.W. HESTERBERG, J.G. HADLEY, AND R.W. MAST	461
Biopersistence and Pulmonary Effects of Inhaled <i>p</i> -Aramid or Wollastonite Fibers Following Short-Term Exposures D.B. WARHEIT, T.A. McHUGH, K.A. KELLAR, AND M.A. HARTSKY	469
Squamous Lung Lesions Associated with Chronic Exposure by Inhalation of Rats to <i>p</i> -Aramid Fibrils (Fine Fiber Dust) and to Titanium Dioxide: Findings of a Pathology Workshop L.S. LEVY	473
Benign Keratinizing Cystic Squamous Cell Tumor of the Lung in Comparison to Cystic Lesions of the Skin U. MOHR	479
<i>Different Approaches</i>	481
Questions About the Risk Assessment Methods Using Linear Downward Extrapolation T.D. STERLING, W.L. ROSENBAUM, AND J.J. WEINKAM	481
Use of Asbestos, Health Risks, and Induced Occupational Diseases in the Former East Germany W. STURM, B. MENZE, J. KRAUSE, AND B. THRIENE	485
Long-Term Dust Exposure in Foundry Workers May Lead to Impaired Lung Function W.D. SCHNEIDER, H. KARSTEN, AND E. GIERKE	491
Characterization of Historical Samples of Nickel Refinery Dusts from the Clydach Refinery M.H. DRAPER, J.H. DUFFUS, P. JOHN, L. METCALFE, L. MORGAN, M.V. PARK, AND M.I. WEITZNER	495
<i>Particles and Tobacco Smoke</i>	501
Subchronic Inhalation Studies in Rats, Using Aged and Diluted Sidestream Smoke from Reference Cigarettes C.R.E. COGGINS	501

Comparative Inhalation Studies in Rodents, Using Smoke from Cigarettes That Heat Rather Than Burn Tobacco C.R.E. COGGINS	505
Effects of Cigarette Smoke Exposure on F344 Rat Lung Clearance of Insoluble Particles G.L. FINCH, B.T. CHEN, E.B. BARR, I.-Y. CHANG, AND K.J. NIKULA	509
8. Poster Presentations	513
<i>In Vitro and In Vivo Effects of Solid Particles</i>	<i>515</i>
Response of the Lung to Instilled Versus Inhaled Particles R.F. HENDERSON, K.E. DRISCOLL, R.C. LINDENSCHMIDT, J.R. HARKEMA, E.B. BARR, AND I.-Y. CHANG	515
Cytotoxic and Genotoxic Effects of Insoluble Particles in Vitro M. RIEBE-IMRE, M. AUFDERHEIDE, S. GÄRTNER-HÜBSCH, A. PERAUD, AND M. STRAUB	519
Summary of the Chronic Inhalation Toxicity of Talc in F344/N Rats and B6C3F1 Mice C.H. HOBBS, K.M. ABDO, F.F. HAHN, N.A. GILLET, S.L. EUSTIS, R.K. JONES, J.M. BENSON, E.B. BARR, M.P. DIETER, J.A. PICKRELL, AND J.L. MAUDERLY	525
Effect of Arsenic Exposure on Alveolar Macrophage Function R.C. LANTZ, G. PARLIMAN, G.J. CHEN, A.M. HAYS, M.L. WITTEN, AND D.E. CARTER	529
Assessment of Maximum Exposure to Soil Contaminants During Gardening I. OLLROGE AND T. REICH	533
Effects of Single and Combined Subchronic Exposure to Ozone and Soot Particles on Pulmonary Surfactant Phospholipids and Associated Protein A and on Surface Activity in Rat Lung Lavage Fluid R. KLINGEBIEL, U. HEINRICH, I. MARTIN-CARRERA, M. DETTMER, A. KÜHN, AND W. BARTSCH	541
Goblet Cell Metaplasia in the Peripheral Lung Tissue of Rats After Chronic Exposure to Particles B. KITTEL, D.L. DUNGWORTH, AND U. MOHR	545

International Life Sciences Institute/ILSI Press
1126 Sixteenth Street, N.W., Washington, D.C. 20036

The use of trade names and commercial sources in this document is for purposes of identification only, and does not imply endorsement by the International Life Sciences Institute. In addition, the views expressed herein are those of the individual authors and/or their organizations, and do not necessarily reflect those of ILSI.

© 1994 International Life Sciences Institute.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of the copyright holder.

Printed in the United States of America

Library of Congress Catalog Card Number 94-75676
ISBN 0-944398-14-6

PM3006448165

Biokinetics of Thorium and Daughter Radionuclides After Deposition in the Rat Lung as Fluoride and Hydroxide J.C. MOODY, C.P. DAVIES, AND G.N. STRADLING	611
Modeling Particle Deposition in a Bifurcating Airway B. ASGHARIAN, S. ANILVEL, AND F.J. MILLER	615
A Strategy for Combining Experimental, Large-Scale, and Site-Specific Models to Determine Fiber Deposition in Animals and Humans F.J. MILLER, S. ANILVEL, B. ASGHARIAN, J.S. KIMBELL, E. BERMUDEZ, M.N. GODO, T.R. GELZLEICHTER, B.A. WONG, AND O.R. MOSS	619
Bimodal Bivariate Log-normal Distributions in the Application of Inhalation Toxicology Specific to the Measurement of Fiber and Particle Dosimetry O.R. MOSS, B.A. WONG, AND B. ASGHARIAN	623
Histopathological Findings in the Rat and Hamster Respiratory Tract in a 90-Day Inhalation Study Using Fresh Sidestream Smoke of the Standard Reference Cigarette 2R1 A. TEREDESAI AND D. PRÜHS	629
Influence of Aging and Surface Contact on the Composition of Cigarette Sidestream Smoke. Models for Environmental Tobacco Smoke P. VONCKEN, W. STINN, H.-J. HAUSMANN, AND E. ANSKETT	637
Subject Index	643

Int Life Sci Inst Monogr Ser 0(0)/1994
Toxic and carcinogenic effects of solid particles in
the respiratory tract / ed. in chief U. Mohr et al

**Histopathological Findings in the Rat and Hamster
Respiratory Tract in a 90-Day Inhalation Study Using Fresh
Sidestream Smoke of the Standard Reference Cigarette 2R1**

A. TEREDESAI¹ AND D. PRÜHS²

¹INBIFO Institut für biologische Forschung, Fuggerstr. 3, D-51149 Köln, Germany

²Formerly at INBIFO

Introduction

While histopathological changes in the respiratory tract of rats and hamsters in short-term and long-term inhalation studies with mainstream smoke (MS) have been reported extensively in literature, there are only three published inhalation studies on rats and on rats and hamsters with sidestream smoke in which histopathology is the main end point. In these studies, the findings observed were epithelial hyperplasia and squamous metaplasia in the rostral nose of the rat at a concentration of 4 µg/L (von Meyerinck et al. 1989) and epithelial hyperplasia in the rostral nose of the rat at a concentration of 10 µg/L (Coggins et al. 1992, 1993). No findings were seen in the rat larynx. In the hamster, no histopathological changes were observed in the respiratory tract (von Meyerinck et al. 1989).

Methods and Evaluations

Animals and Housing

Male Sprague Dawley rats, Crl:CDBR (Charles River, Germany), and male Syrian golden hamsters, Lak:LVG(SYR) (Charles River, U.S.A.), were used, the body weight at the start of the inhalation period being approximately 200 and 80 g, respectively. The animals were housed under standardized conditions (room temperature 22 ± 1 °C, relative humidity 55 ± 10%, and light-dark cycle 14.5 hours:9.5 hours) in polycarbonate cages, type 3, with granulated dust-free wood as bedding material. Diet and drinking water were supplied ad libitum except during exposure.

Animal Exposure

The animals were nose-only-exposed to fresh sidestream smoke (FSS) for 7 hours/day, 7 days/week for 90 days in glass tubes adapted to the shape of the skull at the front end and sealed with rubber stoppers at the rear end. The TPM concentrations in the FSS of 2 and 6 $\mu\text{g/L}$ are significantly above the levels reached in occupied spaces with smoking (U.S. EPA 1992). The air flow rate in the exposure chamber, cross section $0.1\text{ m} \times 0.1\text{ m}$, was 50 L/minute. Sham-exposed animals served as controls.

Group Size

Twenty male rats and 20 male hamsters per group were allocated to one sham exposure group and two FSS groups. Of these, 10 rats and 10 hamsters per group were kept for a 21-day postinhalation period.

Generation of Sidestream Smoke

University of Kentucky standard reference cigarettes 2R1 were smoked on automatic 30-port positive pressure smoking machines (mean puff volume, 35 mL; puffs/cigarette, 9.8; puff frequency/cigarette, 1/minute; puff duration, 2 seconds). The resulting sidestream was collected using a circular hood inside the smoking machine. The maximum age of the smoke was approximately 7 seconds. The two FSS concentrations were obtained by dilution with particle-filtered air.

Characterization of Test Atmosphere

Relevant analytical parameters were determined at appropriate intervals to characterize the FSS and the air used for sham exposure as well as to check the reproducibility of the FSS generation (Table 1).

Carboxyhemoglobin

The steady-state proportion of carboxyhemoglobin was determined at the end of daily exposure three times during the inhalation period to confirm smoke exposure. In the low- and high-FSS groups it was 1.6 and 3.7% for the rats and 2.0 and 4.5% for the hamsters, respectively.

Biological Parameters

The primary parameters were gross pathology and histopathology of the respiratory tract as well as morphometrical determination of the laryngeal epithelial thickness. HE-stained paraffin sections cut at defined levels (Young

Table 1. Concentrations of relevant analytical parameters.

Analytical parameter	FSS group	
	Low	High
TPM ($\mu\text{g/L}$)	2.1	6.0
CO (ppm)	9	22
Nicotine ($\mu\text{g/L}$)	0.6	1.3
Ammonia ($\mu\text{g/L}$)	1.1	2.6
Formaldehyde (ppm)	0.19	0.38
Acetaldehyde (ppm)	0.24	0.55
Acrolein (ppm)	0.03	0.07

1981, Lewis 1980, Lamb and Reid 1969) were evaluated semiquantitatively and morphometrically. Secondary parameters were in-life observations, mortality, body weight, and organ weights.

Results

In both species, no smoke-exposure-related effects were seen for in-life observations, mortality, body weight, organ weights, and gross pathology. The histopathological findings observed in rats were as follows:

nose (rostral): reserve cell hyperplasia of the respiratory epithelium

larynx

base of epiglottis: hyperplasia of squamous epithelium

arytenoid projections

ventral depression: hyperplasia of cuboidal epithelium

floor of the larynx: squamous metaplasia of the pseudostratified epithelium

vocal cords:

lower medial surface: hyperplasia of the squamous epithelium (Figures 1-3)

upper medial surface: squamous metaplasia of the pseudostratified epithelium (Figures 4-6)

vocal folds: hyperplasia of the squamous epithelium

The severity of these findings in rats was slight, and they were observed mainly in the high FSS concentration group. No smoke-exposure-related histopathological changes were observed in trachea and lungs. A dose-dependent increase in epithelial thickness in the larynx compared to sham was observed at the floor of the larynx and at the lower medial surface of the vocal

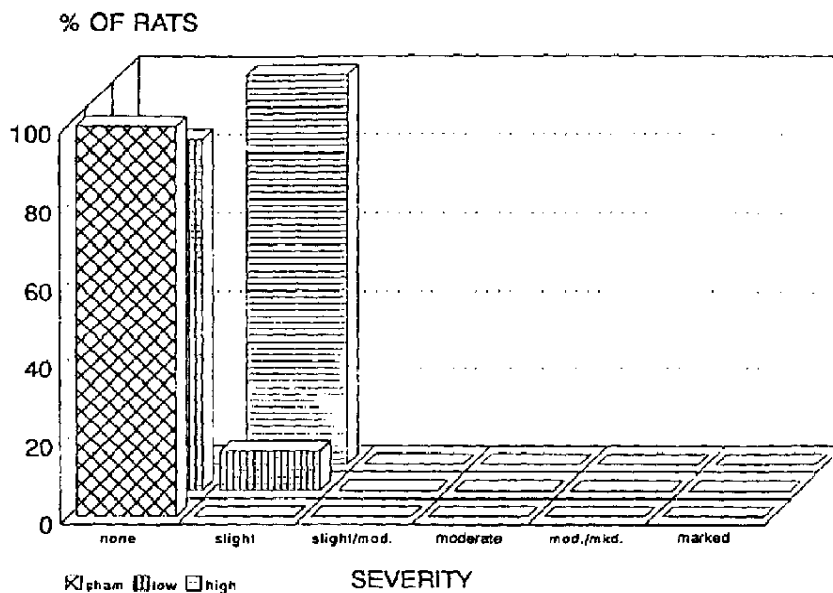


Figure 1. Distribution of hyperplasia of squamous epithelium at the arytenoid projections, vocal cords, lower medial surface, at the end of a 90-day inhalation period.

cords; the increase at the respective sites was 19 and 32% in the 6 $\mu\text{g/L}$ TPM concentration group and was statistically significant. All the aforementioned findings reversed completely during the 21-day postinhalation period, the exception being hyperplasia at the vocal cords, which was still present in three rats of the high FSS concentration group. All findings are considered to be an adaptive response to repeated irritation.

In the hamster respiratory tract, no histopathological changes were seen.

Conclusion

The reserve cell hyperplasia of the rat nasal respiratory epithelium and the lack of findings for the hamster are in accordance with published literature (von Meyerinck et al. 1989, Coggins et al. 1992, 1993). The slight hyperplasia and the slight squamous metaplasia found in the rat laryngeal epithelium have not been reported to date in the literature. The changes were reversible and are considered to be an adaptive response to repeated irritation.

The No Observed Effect Level (NOEL) for all FSS-related findings for this study is between 2 and 6 $\mu\text{g TPM/L}$ for rats. This concentration range is between 1 and 2 orders of magnitude above the average environmental concentration.



Figure 2. Transverse section at the arytenoid projections, vocal cords, lower medial surface: sham-exposed rat showing normal epithelium; H & E \times 135.



Figure 3. Transverse section at the arytenoid projections, vocal cords, lower medial surface: high-dose SS-exposed rat showing hyperplasia of squamous epithelium; H & E \times 132.

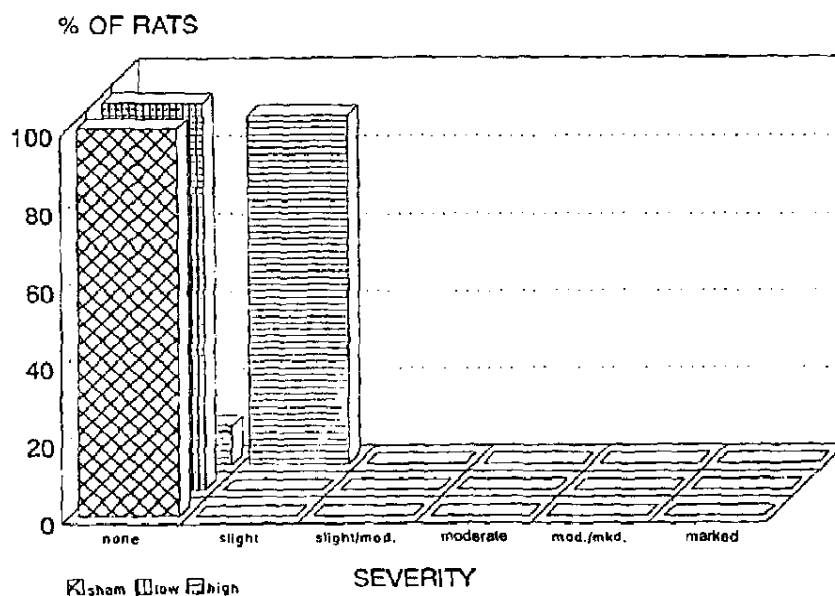


Figure 4. Distribution of squamous metaplasia at the arytenoid projections, vocal cords, upper medial surface, at the end of a 90-day inhalation period.



Figure 5. Transverse section at the arytenoid projections, vocal cords, upper medial surface: sham-exposed rat showing normal epithelium; H & E $\times 132$.

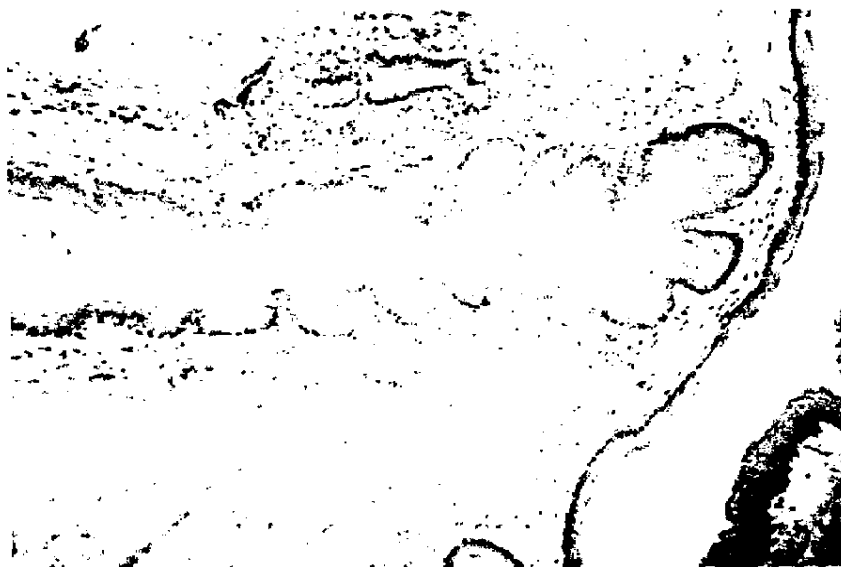


Figure 6. Transverse section at the arytenoid projections, vocal cords, upper medial surface: high-dose SS-exposed rat showing squamous metaplasia; H & E $\times 135$.

Acknowledgment. This work was sponsored by Philip Morris, USA.

References

- Coggins CRE, Ayres PH, Mosberg AT, et al. (1992) Fourteen-day inhalation study in rats, using aged and diluted sidestream smoke from a reference cigarette. *Fundam Appl Toxicol* 19:133-140
- Coggins CRE, Ayres PH, Mosberg AT, et al. (1993) Subchronic inhalation study in rats using aged and diluted sidestream smoke from a reference cigarette. *Inhalation Toxicol* 5:77-97
- Lamb D, Reid L (1969) Goblet cell increase in rat bronchial epithelium after exposure to cigarette and cigar tobacco smoke. *Br Med J* 1:33-35
- Lewis DJ (1980) Experimental pathology of the rat larynx following exposure to tobacco smoke [Ph.D. Thesis]. University of Surrey
- U.S. Environmental Protection Agency (1992) Respiratory health effects of passive smoking: lung cancer and other disorders. Office of Health and Environmental Assessment, Office of Research and Development, Washington, DC
- von Meyerinck L, Scherer G, Adlkofer F, et al. (1989) Exposure of rats and hamsters to sidestream smoke from cigarettes in a subchronic inhalation study. *Exp Pathol* 37:186-189
- Young JT (1981) Histopathologic examination of the rat nasal cavity. *Fundam Appl Toxicol* 1:309-312